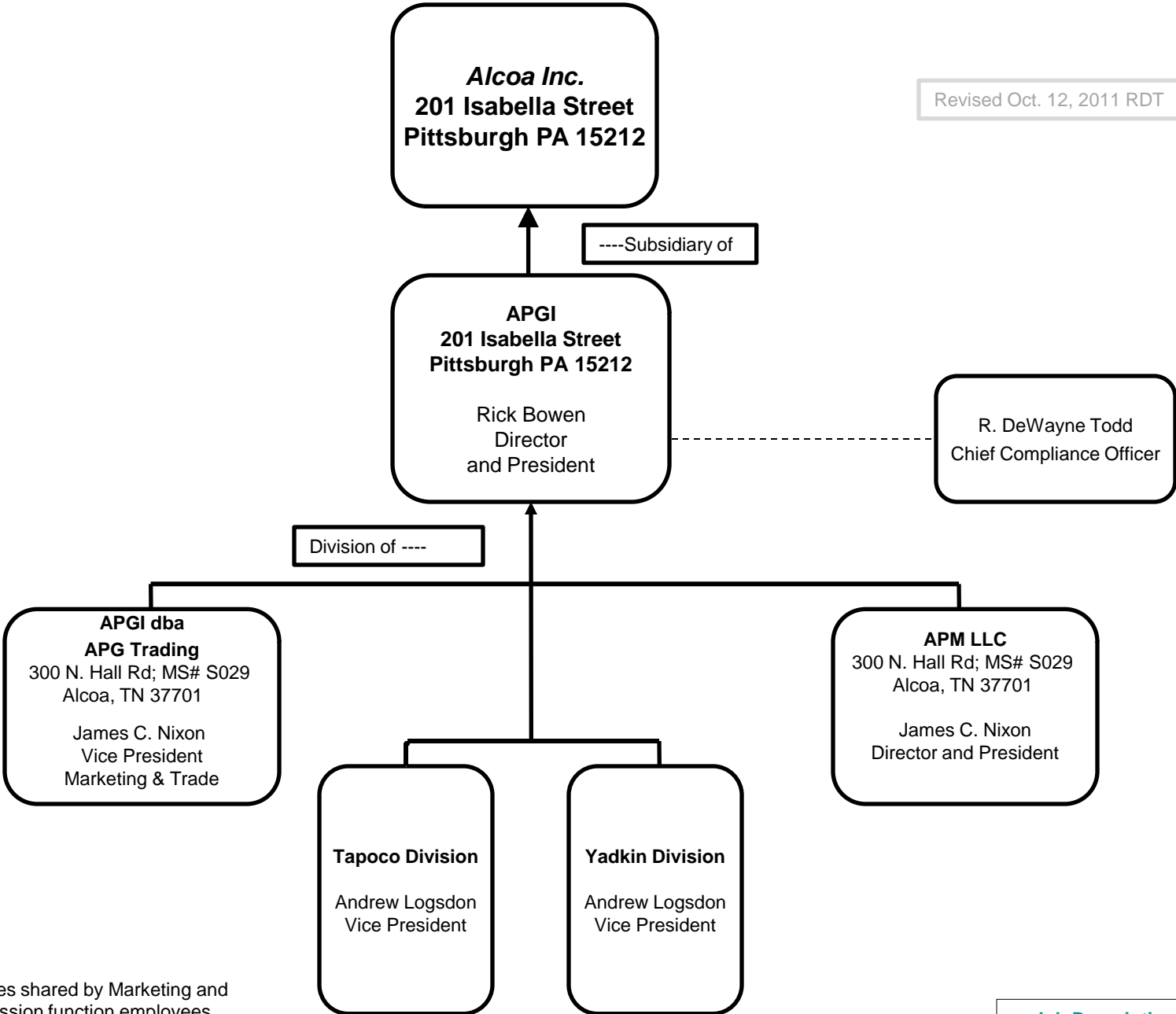


Revised Oct. 12, 2011 RDT



No facilities shared by Marketing and Transmission function employees.

[Job Descriptions](#)

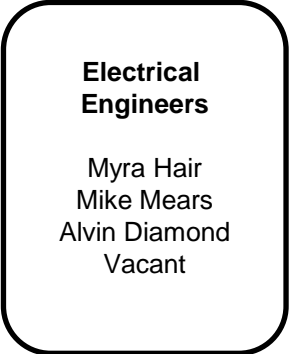
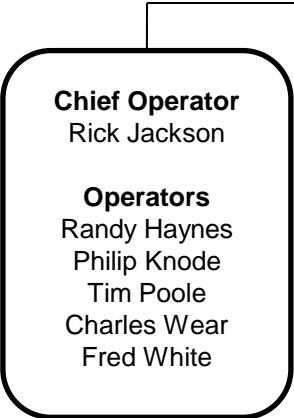
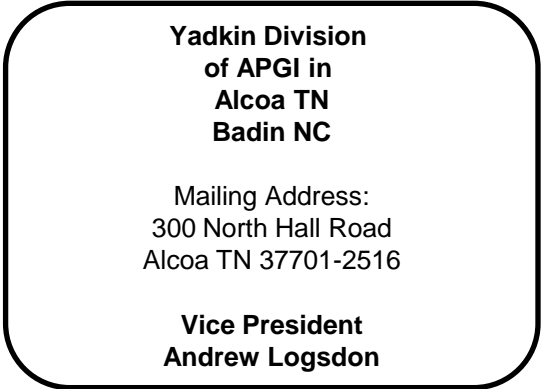
# Names of Affiliates that Employ or Retain Marketing-Function Employees

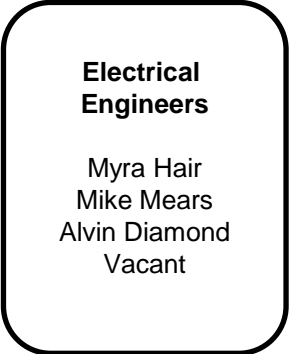
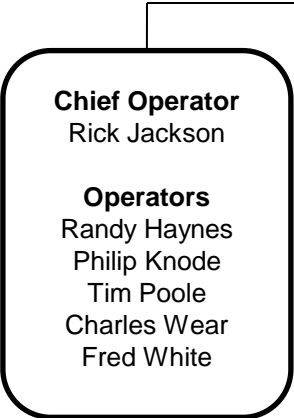
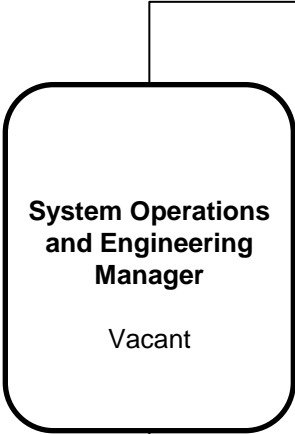
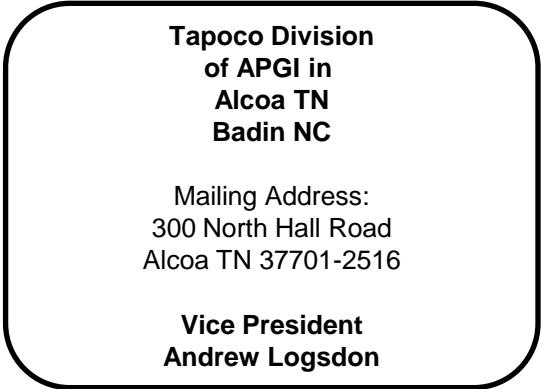
## ***APGI dba APG Trading***

300 N. Hall Rd  
MS# S029  
Alcoa, TN 37701

## **APM LLC**

300 N. Hall Rd  
MS# S029  
Alcoa, TN 37701





**APGI dba APG Trading**  
**900 South Gay Street**  
**Knoxville TN 37902**

**Vice President, Marketing and Trade**  
James C. Nixon \*

**Vice President,  
Supervisor, Trading and Origination**  
Marion Lucas \*

**Day Traders \***

Pat Esmiol  
Marion Edwards  
Cheryl Lee  
Steve Wells  
Darvis Wilson

**Trader/Market Analyst \***

Jason Buck

**Scheduler\***

Richard Williams

**Compliance Technician \***

Kay Vincelette

\* Alcoa Inc. Employees

**APM LLC**  
**900 South Gay Street**  
**Knoxville TN 37902**

**Director and President**  
James C. Nixon \*

**Supervisor, Trading and Origination**  
Marion Lucas \*

**Day Traders \***  
Pat Esmiol  
Marion Edwards  
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Steve Wells  
Darvis Wilson

**Trader/Market Analyst \***  
Jason Buck

**Scheduler\***  
Richard Williams

**Compliance Technician \***  
Kay Vincelette

\* Alcoa Inc. Employees

## JOB DESCRIPTIONS

### Transmission-Function Employees:

**President** – Responsible for all of Alcoa Power Generating, Inc.'s operations.

**Vice President (Yadkin / Tapoco)** – Responsible for maintenance, operations, and reliability.

**Operations Manager (Yadkin / Tapoco)** – Responsible for all daily operations for Yadkin and Tapoco.

**Technical Manager (Yadkin / Tapoco)** – Oversees the field operations.

**Compliance Manager (Yadkin / Tapoco)** – Responsible for assuring adherence to regulatory requirements.

**Compliance Engineer (Yadkin / Tapoco)** – Responsible for developing operating procedures and operational studies.

**Community Affairs Manager** – Liaison for APGI hydro operations with the community.

**Maintenance (Yadkin / Tapoco)** – Provides for the repairs of the hydro generators and substation/line equipment.

**Chief Operator (Yadkin / Tapoco)** – Oversees Yadkin Division's Balancing Authority and Tapoco Division Transmission operations.

**Operator (Yadkin / Tapoco)** – Operators for Yadkin Division's Balancing Authority functions and the Tapoco Transmission system.

**Reliability Engineer (Yadkin)** – Performs engineering duties for Yadkin Division Balancing Authority and provides Yadkin's planning studies.

**Planner** – Performs maintenance planning activities to ensure parts, labor, and materials are available for planned outages.

**Technical Specialist** – Provides expert technical guidance & project management for large maintenance projects associated with the hydro projects.

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### Marketing Employees:

**President/Director (APM LLC)** – Directs energy trading, negotiates custom long term contracts, and negotiates retail & wholesale long term contracts for Alcoa's major facilities.

**V.P., Marketing & Trade (APGI)** – Directs energy trading group's activities, negotiates custom long term contracts, and negotiates retail & wholesale long term contracts for Alcoa's major facilities.

**Supervisor, Trading & Origination – Vice President (APGI)** – Functionally directs the Day Traders, sets physical purchases & sales strategy, performs longer term physical power deals, financial hedging of power deals, & emission allowance trading.

**Day Trader (APGI & APMC)** – Responsible for physical power purchases & sales from hourly to balance of the month. Purchases transmission, schedules, tags, & monitors physical power transactions. Five personnel cover the 7 X 24 position.

**Trader/Market Analyst (APGI & APMC)** – Backs up Supv. Marketing & Origination on long term physical deals, financial deals, & emission allowance deals. Provides analytical support to trading group regarding market forecasts, valuing custom deals, calculating volatility, cross commodity spreads, etc

**Scheduler (APGI & APMC)**.- Schedule energy, transmission, and/or ancillary service purchases and sales with balancing authorities, utilities, ISOs or marketers. Makes use of OASIS to reserve transmission and OATI tagging system to tag and track transactions.

**Compliance Technician (APGI & APMC)** – Supports trading group administratively, handles deal entries, market info recording, report preparation, contract administration, FERC quarterly reporting, compliance performance tracking and reporting, etc.